

## Item 1 Cover Page

A.

### **Gerard J. Frigon**

Taylor Frigon Capital Management, LLC

Brochure Supplement

Dated: June 10, 2021

Contact: Jennifer O. Hall, Chief Compliance Officer  
20715 North Pima Road, Suite 108  
Scottsdale, Arizona 85255

B.

**This Brochure Supplement provides information about Gerard J. Frigon that supplements the Taylor Frigon Capital Management, LLC Brochure; you should have received a copy of that Brochure. Please contact Jennifer O. Hall, Chief Compliance Officer, if you did *not* receive Taylor Frigon Capital Management, LLC's Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Gerard J. Frigon is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2 Education Background and Business Experience

Gerard J. Frigon was born in 1962. Mr. Frigon graduated from The University of California "Santa Barbara" in 1985, with a B.A. degree in Business/Economics. Mr. Frigon has been the Chief Compliance Officer of Taylor Frigon Capital Management, LLC since January of 2007. Mr. Frigon was employed as First Vice-President/Investments with Merrill Lynch & Co. from December 1986 to January of 2007.

## Item 3 Disciplinary Information

None.

#### Item 4 Other Business Activities

- A. **Taylor Frigon Capital Partners, LP.** Taylor Frigon Capital Management, LLC is affiliated with a private fund and serves as the financial adviser to Taylor Frigon Capital Partners, LP (the “*Fund*”). The general partner of the *Fund* is Taylor Frigon Capital Advisors, LLC, of which Mr. Frigon is the one hundred percent (100%) owner. Mr. Frigon may recommend, on a non-discretionary basis, that qualified clients allocate a portion of their investment assets to the *Fund*.

**Conflict of Interest:** Because Mr. Frigon, through his ownership interest in the *Fund*, may receive an enhanced economic benefit from a client’s *Fund* investment (i.e., distributions in accordance with his ownership interest), Mr. Frigon has a conflict of interest in recommending to clients that they consider investing in the *Fund*. **The Registrant’s Chief Compliance Officer, Jennifer O. Hall, remains available to address any questions that a client or prospective client may have regarding the *Fund* and any corresponding conflicts of interest.**

- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

#### Item 5 Additional Compensation

Mr. Frigon’s annual compensation is based, in part, on the amount of assets under management that Mr. Frigon introduces to the Registrant. Accordingly, Mr. Frigon has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client’s best interests.

#### Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant’s policies and procedures manual. The primary purpose of the Registrant’s Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the “*Act*”). The Registrant’s Chief Compliance Officer, Jennifer O. Hall, is primarily responsible for the implementation of the Registrant’s policies and procedures and overseeing the activities of the Registrant’s supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the *Act*, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant’s supervision or compliance practices, please contact Ms. Hall (805) 226-0280.

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### **John Ferebee**

Taylor Frigon Capital Management, LLC

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Contact: Jennifer O. Hall, Chief Compliance Officer  
20715 North Pima Road, Suite 108  
Scottsdale, Arizona 85255

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**This Brochure Supplement provides information about John Ferebee that supplements the Taylor Frigon Capital Management, LLC Brochure; you should have received a copy of that Brochure. Please contact Jennifer O. Hall, Chief Compliance Officer, if you did *not* receive Taylor Frigon Capital Management, LLC's Brochure or if you have any questions about the contents of this supplement.**

**Additional information about John Ferebee is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2 Education Background and Business Experience

John Ferebee was born in 1943. Mr. Ferebee graduated from Arizona State University in 1966, with a Bachelor of Science degree in Business/Geography. Mr. Ferebee has been employed as Director of Wealth Planning with Taylor Frigon Capital Management, LLC since May 2008. Mr. Ferebee was employed as Senior Vice President and Director of Retail Lending with Rabobank from January of 2000 to May of 2008.

## Item 3 Disciplinary Information

None.

#### **Item 4 Other Business Activities**

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

#### **Item 5 Additional Compensation**

Mr. Ferebee's annual compensation is based, in part, on the amount of assets under management that Mr. Ferebee introduces to the Registrant. Accordingly, Mr. Ferebee has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

#### **Item 6 Supervision**

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**David Warner Mathisen**

Taylor Frigon Capital Management, LLC

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20715 North Pima Road, Suite 108  
Scottsdale, Arizona 85255

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**This Brochure Supplement provides information about David Warner Mathisen that supplements the Taylor Frigon Capital Management, LLC Brochure; you should have received a copy of that Brochure. Please contact Jennifer O. Hall, Chief Compliance Officer, if you did *not* receive Taylor Frigon Capital Management, LLC's Brochure or if you have any questions about the contents of this supplement.**

**Additional information about David Warner Mathisen is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

**Item 2 Education Background and Business Experience**

David Warner Mathisen was born in 1969. Mr. Mathisen graduated from the United States Military Academy at West Point in 1991, with a Bachelor of Science degree in Systems Engineering/English. Mr. Mathisen graduated from Texas A&M University in 2001 with a Masters degree in Literature. Mr. Mathisen has been employed as Portfolio Manager with Taylor Frigon Capital Management, LLC since January of 2007. Mr. Mathisen was also employed as a Financial Adviser with Merrill Lynch & Co. from February of 2003 to January of 2007.

**Item 3 Disciplinary Information**

None.

#### **Item 4 Other Business Activities**

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

#### **Item 5 Additional Compensation**

Mr. Mathisen's annual compensation is based, in part, on the amount of assets under management that Mr. Mathisen introduces to the Registrant. Accordingly, Mr. Mathisen has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

#### **Item 6 Supervision**

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### **James Matthew McConaghy**

Taylor Frigon Capital Management, LLC

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Scottsdale, Arizona 85255

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**This Brochure Supplement provides information about James Matthew McConaghy that supplements the Taylor Frigon Capital Management, LLC Brochure; you should have received a copy of that Brochure. Please contact Jennifer O. Hall, Chief Compliance Officer, if you did *not* receive Taylor Frigon Capital Management, LLC's Brochure or if you have any questions about the contents of this supplement.**

**Additional information about James Matthew McConaghy is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2 Education Background and Business Experience

James Matthew McConaghy was born in 1979. Mr. McConaghy graduated from the University of California at Santa Barbara in 2003, with a Bachelor of Arts degree in Communication. Mr. McConaghy has been the Director of Institutional sales with Taylor Frigon Capital Management, LLC since March 2017. From June 2013 to August 2016, Mr. McConaghy was the Vice President of Eaton Partners, LLC. From July 2012 to June 2013, Mr. McConaghy was the Founder of Roc Advisors.

## Item 3 Disciplinary Information

None.

#### **Item 4 Other Business Activities**

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

#### **Item 5 Additional Compensation**

Mr. McConaghy's annual compensation is based, in part, on the amount of assets under management that Mr. McConaghy introduces to the Registrant. Accordingly, Mr. McConaghy has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

#### **Item 6 Supervision**

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### **Jacob W. Turbow**

Taylor Frigon Capital Management, LLC

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Dated: June 10, 2021

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20715 North Pima Road, Suite 108  
Scottsdale, Arizona 85255

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**This Brochure Supplement provides information about Jacob W. Turbow that supplements the Taylor Frigon Capital Management, LLC Brochure; you should have received a copy of that Brochure. Please contact Jennifer O. Hall, Chief Compliance Officer, if you did *not* receive Taylor Frigon Capital Management, LLC's Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Jacob W. Turbow is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2 Education Background and Business Experience**

Jacob W. Turbow was born in 1993. Mr. Turbow graduated from California Polytechnic State University in 2015, with a Bachelor of Science degree in Economics. Since October 2018, Mr. Turbow has been a research analyst with Taylor Frigon Capital Management, LLC. From April 2018 to October 2018, Mr. Turbow was an inventory analyst at Lavaseats. From August 2017 to April 2018, Mr. Turbow was a tax accountant with Glenn Burdett. From September 2015 to July 2017, Mr. Turbow was an Assistant Vice President at Mesirov Financial and from January 2014 to August 2015, he was administrative support at Glenn Burdette.

## **Item 3 Disciplinary Information**

None.

#### **Item 4 Other Business Activities**

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

#### **Item 5 Additional Compensation**

None

#### **Item 6 Supervision**

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**Matthew S. Sepulveda**

Taylor Frigon Capital Management, LLC

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Dated: June 10, 2021

Contact: Jennifer O. Hall, Chief Compliance Officer  
20715 North Pima Road, Suite 108  
Scottsdale, Arizona 85255

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**This Brochure Supplement provides information about Matthew S. Sepulveda that supplements the Taylor Frigon Capital Management, LLC Brochure; you should have received a copy of that Brochure. Please contact Jennifer O. Hall, Chief Compliance Officer, if you did *not* receive Taylor Frigon Capital Management, LLC's Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Matthew S. Sepulveda is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

**Item 2 Education Background and Business Experience**

Matthew S. Sepulveda was born in 1993. Mr. Sepulveda graduated from California State University, Sacramento in 2016, with a Bachelor of Arts degree in Economics/Government and from Boston University in 2017 with a Master of Arts degree in Economics. Mr. Sepulveda has been employed as a Research Analyst with Taylor Frigon Capital Management, LLC since February 2018. Prior to that, Mr. Sepulveda was a student.

**Item 3 Disciplinary Information**

None.

#### **Item 4 Other Business Activities**

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

#### **Item 5 Additional Compensation**

None.

#### **Item 6 Supervision**

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**Jennifer Oanh Hall**

Taylor Frigon Capital Management, LLC

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20715 North Pima Road, Suite 108  
Scottsdale, Arizona 85255

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**Additional information about Jennifer Oanh Hall is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

**Item 2 Education Background and Business Experience**

Jennifer Oanh Hall was born in 1970. Ms. Hall graduated from Worcester Polytechnic Institute in 1992, with a Bachelor of Science degree in Civil Engineering. Ms. Hall has been employed with Taylor Frigon Capital Management, LLC since May 2013. From May 2013 through November 2018, she was a Data Analyst and has been the Chief Compliance Officer since November 2018.

**Item 3 Disciplinary Information**

None.

#### **Item 4 Other Business Activities**

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

#### **Item 5 Additional Compensation**

None.

#### **Item 6 Supervision**

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